

RCE/11635  
 #23/925  
 RCE  
 OIPE  
 SEP 20 2002  
 1 ma

# REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL

Subsection (b) of 35 U.S.C. § 132, effective on May 29, 2000,  
 provides for continued examination of an utility or plant application  
 filed on or after June 8, 1995.  
 See the American Inventors Protection Act of 1999 (AIPA).

Application Number	09/403,861
Filing Date	February 11, 2000
First Named Inventor	Carlo RICCARDI
Group Art Unit	1635
Examiner Name	Janet L. Epps
Attorney Docket Number	RICCARDI=1

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application.

**NOTE:** 37 C.F.R. § 1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.53(d) (PTO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application Practice, Final Rule, 65 Fed. Reg. 50092 (Aug. 16, 2000); Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000) 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.

## 1. Submission required under 37 C.F.R. § 1.114

- a. ☒ Previously submitted
- i. ☒ Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on August 14, 2002  
 (Any unentered amendment(s) referred to above will be entered)
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_
- iii. ☐ Other \_\_\_\_\_
- b. ☐ Enclosed
- i. ☐ Amendment/Reply
- ii. ☐ Affidavit(s)/Declaration(s)
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☐ Other \_\_\_\_\_

09/23/2002 RHARIS1 00000009 09403861

01 FC:179  
 02 FC:115

740.00 OP  
 110.00 OP

## 2. Miscellaneous

- a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. § 1.17(i) required).
- b. ☐ Other \_\_\_\_\_

## 3. Fees

The RCE fee under 37 C.F.R. § 1.17(e) is required by 37 C.F.R. § 1.114 when RCE is filed.

- a. ☒ For:
- i. ☒ RCE fee required under 37 C.F.R. § 1.17(e)
- ii. ☒ Extension of time fee (37 C.F.R. §§ 1.136 and 1.17) (☐ \$ \_\_\_\_\_ already paid for \_\_\_\_\_ month(s) extension of time on \_\_\_\_\_)
- iii. ☐ Other \_\_\_\_\_
- b. ☒ Payment by:
- i. ☐ Check in the amount of \$ \_\_\_\_\_ enclosed (Check No. \_\_\_\_\_)
- ii. ☒ Credit card (Form PTO-2038 enclosed) authorized in the amount of \$ 850.00
- iii. ☐ Charge \$ \_\_\_\_\_ to Deposit Account No. 02-4035
- c. ☒ Provisional extension of time if needed. Applicants authorize any charge of additional fees (except issue fee) which may be required in connection with this application to Deposit Account No. 02-4035.
- d. ☒ The director is hereby authorized to charge any underpayment of fees, or credit any overpayments, to Deposit Account No. 02-4035

## SIGNATURE OF APPLICANT, ATTORNEY, AGENT REQUIRED

Name (Print/Type)	Allen C. Yun	Registration No. (Attorney/Agent)	37,971
Signature		Date	September 20, 2002

## CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner For Patents, Box RCE, Washington, DC 20231, or facsimile transmitted to the U.S. Patent and Trademark Office on:

Name (Print/Type)	Registration No. (Attorney/Agent)
Signature	Date